

## **Privacy Notice**

At SouthernSun Asset Management LLC (“us,” “we,” or “our”), we understand that our relationship with you is based on trust, and we are committed to safeguarding the nonpublic personal information that you provide us. This notice explains our privacy policy and describes the nonpublic personal information we collect, why we collect it, and how we protect the confidentiality of such information.

### **Collection of Information**

We collect information from and about you in order to identify you as a customer, manage your account, and comply with legal and regulatory requirements. This information includes, but is not limited to, the following:

- Information collected to verify your identity or provided on account forms and agreements, such as a social security number, an address, and/or a tax identification number;
- Information that we generate to service your account, such as trading activity and investment holdings;
- Information provided by third parties authorized to service your accounts, such as advisers, custodians, brokers, attorneys, and consultants.

### **Disclosure of Information**

We do not disclose nonpublic personal information about our current or former customers to non-affiliated companies or individuals without consent, unless such disclosures are required or permitted by law. Disclosures permitted by law include sharing transaction and other account information with third parties necessary to service your accounts, such as custodians, broker-dealers, proxy voting agents, lawyers, accountants, and technology consultants. Third parties acting on our behalf are only permitted to use this information for the specified service or as otherwise required by law. We do not sell your personal information to anyone.

We do not disclose any nonpublic personal information about our current or former customers to our affiliates, except for purposes of our parent company’s normal internal accounting, record-keeping, and auditing processes.

### **Protection and Maintenance of Information**

Except as otherwise expressly provided, we restrict access to your nonpublic personal information to those employees and agents who need access to the information so that we may service your account. We have implemented internal controls and procedures designed to keep and report your information as complete and accurate as possible. In addition, we



maintain physical, electronic and procedural safeguards to protect your nonpublic personal information and comply with applicable requirements.

For any questions regarding our privacy policy or this notice, please feel free to contact our Compliance and Legal Team at [compliance@southernsunam.com](mailto:compliance@southernsunam.com).